

Attachment 1  
Page No 12

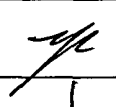
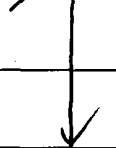
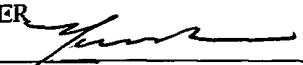
EXPRESS MAIL NO. EV170139843US

Sheet 1 of 2

FORM PTO-1449 (REV.7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 210121.478C17		APPLICATION NO. 09/902,941	
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				APPLICANTS Robert A. Henderson et al.			
				FILING DATE July 10, 2001		GROUP ART UNIT 1637	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	AA						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
					YES	NO	
<i>yp</i>	AB	EP 1033401 A2	09/06/00	EPO			
<i>yp</i>	AC	EP 1026242 A1	08/09/00	EPO			
<i>yp</i>	AD	WO 01/51628	07/19/01	WIPO			
<i>yp</i>	AE	WO 01/42451	06/14/01	WIPO			
<i>yp</i>	AF	WO 00/22130	04/20/00	WIPO			
<i>yp</i>	AG	WO 00/21990	04/20/00	WIPO			
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
<i>yp</i>	AH	GenBank Database, Accession No. AF251237, August 23, 2000.					
	AI	GenBank Database, Accession No. AAG01401, August 23, 2000.					
	AJ	Genbank Database, Accession No. AJ290447, May 5, 2001.					
	AK	GenBank Database, Accession No. CAC38108, May 5, 2001.					
	AL	GenBank Database, Accession No. XM_010376, October 16, 2001					
	AM	GenBank Database, Accession No. XP_010376, October, 16, 2001.					
✓	AN	Geneseq Database (Derwent), Accession No. AAH64751, September 11, 2001.					
EXAMINER <i>[Signature]</i>				DATE CONSIDERED 5-20-03			
* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).							

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Sheet 2 of 2

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	BA						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
					YES	NO	
	BB						
	BC						
	BD						
	BE						
	BF						
	BG						
<b>OTHER PRIOR ART</b> (Including Author, Title, Date, Pertinent Pages, Etc.)							
	BH	Geneseq Database (Derwent), Accession No. AAL13774, December 7, 2001.					
	BI	Geneseq Database (Derwent), Accession No. AAL22641, December 7, 2001.					
	BJ	Geneseq Database (Derwent), Accession No. AAS37109, December 17, 2001.					
	BK						
	BL						
	BM						
	BN						
EXAMINER 				DATE CONSIDERED 5-26-03			
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JUL 25 2002

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
210121.478C17APPLICATION NO.  
09/902,941

Sheet 1 of 1

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SUPPLEMENTAL INFORMATION DISCLOSURE  
TRADE STATEMENT

(Use several sheets if necessary)

APPLICANTS  
Robert A. Henderson et al.

JUL 29 2002

FILING DATE  
July 10, 2001GROUP ART UNIT  
1636

TECH CENTER 1600/290

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
yp	AE	WO 00/55375	09/21/00	WIPO		
yp	AF	WO 99/38973	08/05/99	WIPO		
	AG					
	AH					
	AI					
	AJ					

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

yp	AK	EMBL Accession Number AA488696, July 1, 1997.
	AL	EMBL Accession Number AW950090, June 7, 2000.
	AM	EMBL Accession Number T63732.1, March 5, 1995.
	AN	Hillier et al., "Generation and Analysis of 280,000 Human Expressed Sequence Tags," <i>Genome Research</i> 6:807-828, 1996.
	AO	Zangemeister-Wittke and Stahel, "Novel approaches to the treatment of small-cell lung cancer," <i>CMLS, Cell. Mol. Life Sci.</i> 55(12):1585-1598, September 1999.

EXAMINER

DATE CONSIDERED

5-20-03

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Attachment  
to Page No 3

Sheet 1 of 2

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<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)		APPLICANTS Robert A. Henderson et al.	
		FILING DATE July 10, 2001	GROUP ART UNIT

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>ye</i>	AA	5,589,579	12/31/96	Torczynski et al.	536	23.1	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
<i>ye</i>	AB	WO 98/31799	07/23/98	WIPO		
	AC	WO 98/31799	07/23/98	WIPO (corrected version)		
	AD	WO 97/33993	09/18/97	WIPO		

**OTHER PRIOR ART** (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>ye</i>	AE	Chen et al., "Isolation and characterization of a novel gene expressed in multiple cancers," <i>Oncogene</i> 12(4):741-751, February 15, 1996.
	AF	EMBL Database Accession No. AA948244, May 5, 1998.
	AG	EMBL Database Accession No. AA620697, October 16, 1997.
	AH	GenBank Accession No. X21973, May 18, 1999.
	AI	Güre et al., "Human lung cancer antigens recognized by autologous antibodies: definition of a novel cDNA derived from the tumor suppressor gene locus on chromosome 3p21.3," <i>Cancer Research</i> 58(1):1034-1041, March 1, 1998.
	AJ	Hara et al., "Characterization of cell phenotype by a novel cDNA library subtraction system: expression of CD8 alpha in a mast cell-derived interleukin-4-dependant cell line," <i>Blood</i> 84(1):189-199, July 1, 1994.
	AK	Liu et al., "XAGE-1, a new gene that is frequently expressed in Ewing's sarcoma," <i>Cancer Research</i> 60(17):4752-4755, September 1, 2000.
	AL	Schena et al., "Quantitative monitoring of gene expression patterns with a complementary DNA microarray," <i>Science</i> 270:467-470, October 20, 1995.

EXAMINER <i>ye</i>	DATE CONSIDERED 5-20-03
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## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANTS

Robert A. Henderson et al.

FILING DATE

July 10, 2001

GROUP ART UNIT


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				YES	NO
BB					
BC					
BD					

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	BE		Wu and Noguchi, "Activation of globin gene expression by cDNAs from induced K562 cells," <i>Journal of Biological Chemistry</i> 266(26):17566-17572, September 15, 1991.
	BF		
	BG		
	BH		
	BI		
	BJ		
	BK		

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